

PRIMARY DISCLOSURE STATEMENT



Steven Robert Barton

Name and registration number of Authorised Financial Adviser: Steven Robert Barton FSP32663
Address: 181 King Street, Whakatane, PO Box 8, Whakatane 3158
Trading name: Pascoe Barton Limited (FSP31762) trading as Pascoe Barton
Telephone number: +64 (07) 3060080 or toll free 0800 161820
Fax number: +64 (07) 3060081
Email address: steven@pascoebarton.co.nz

This disclosure statement was updated on: 13 July 2011

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers) to provide the financial adviser services described below.

How can I help you?

I have been authorised to provide you with financial adviser services of the following categories:

- Financial Advice
- Discretionary Investment Management Services
- Investment Planning Services

When I do this, I will be able to give you advice or provide a service about financial products provided by a broad range of organisations (more than 5 organisations). I provide advice and portfolio management service to clients using the AEGIS Wrap Platform and the Global Investment Service Platform (GIS).

How do I get paid for the services that I provide to you?

Payment type	Description
<input checked="" type="checkbox"/> Fees only	My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice.
<input type="checkbox"/> Fees	My services are paid for by the fees that you pay as well as in other ways.
<input type="checkbox"/> Commissions	There are situations in which my employer will be paid by other organisations. How much that payment will be depends on the decisions that you make.
<input type="checkbox"/> Extra payments from my employer	I may receive extra payments from my employer depending upon the decisions that you make.
<input type="checkbox"/> Non-financial benefits from other organisations	Other organisations may give my employer and me non-financial benefits depending on the decisions that you make.

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice or provide a service or, if that is not practicable, as soon as practicable after I give you that advice or provide that service.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell my internal complaints scheme so that my internal complaints scheme can try to fix the problem. You may contact the internal complaints scheme by: contacting any Pascoe Barton employee in person or in writing.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Insurance & Savings Ombudsman Scheme
Level 7, 99-105 Customhouse Quay, Wellington 6011 <http://www.iombudsman.org.nz>

This service will cost you nothing, and will help us resolve any disagreements. You can contact the Insurance & Savings Ombudsman Scheme at:

Physical Address: Level 7, 99-105 Customhouse Quay, Wellington 6011

Postal Address: Office of the ISO, PO Box 10-845 Wellington 6143 New Zealand

Telephone number: +64 (04) 499 7612 or Freephone: 0800 888 202

Email address: info@iombudsman.org.nz

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me. If you have a question about financial advisers generally, you can contact the Financial Markets Authority. Tel 0800 434 566 www.fma.govt.nz

How am I regulated by the Government?

You can check that I am a registered financial services provider and an Authorised Financial Adviser at <http://www.fspr.govt.nz>.

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings. Tel 0800 434 566 www.fma.govt.nz

You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Steven Robert Barton, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:



Dated: 13 July 2011

PRIMARY DISCLOSURE STATEMENT



Susan Maree Pascoe Barton

Name and registration number of Authorised Financial Adviser: Susan Maree Pascoe Barton FSP32382
Address: 181 King Street, Whakatane, PO Box 8, Whakatane 3158
Trading name: Pascoe Barton Limited (FSP31762) trading as Pascoe Barton
Telephone number: +64 (07) 3060080 or toll free 0800 161820
Fax number: +64 (07) 3060081
Email address: susan@pascoebarton.co.nz

This disclosure statement was updated on: 13 July 2011

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

In addition to the information that I must disclose to you in this statement, I must also disclose other information to you in a separate disclosure statement (or statements), including information about the types of services that I provide, the fees that I charge, and any actual or potential conflicts of interest. If I have not provided that information to you at the same time as I give you this statement, I must provide it to you as soon as I can.

What sort of adviser am I?

I am an Authorised Financial Adviser. This means I have been authorised by the Financial Markets Authority (the government agency that monitors financial advisers) to provide the financial adviser services described below.

How can I help you?

I have been authorised to provide you with financial adviser services of the following categories:

- Financial Advice
- Discretionary Investment Management Services
- Investment Planning Services

When I do this, I will be able to give you advice or provide a service about financial products provided by a broad range of organisations (more than 5 organisations). I provide advice and portfolio management service to clients using the AEGIS Wrap Platform and the Global Investment Service Platform (GIS).

How do I get paid for the services that I provide to you?

Payment type	Description
<input checked="" type="checkbox"/> Fees only	My services are only paid for by the fees that you pay. I do not receive payments from other people or organisations that might influence my advice.
<input type="checkbox"/> Fees	My services are paid for by the fees that you pay as well as in other ways.
<input type="checkbox"/> Commissions	There are situations in which my employer will be paid by other organisations. How much that payment will be depends on the decisions that you make.
<input type="checkbox"/> Extra payments from my employer	I may receive extra payments from my employer depending upon the decisions that you make.
<input type="checkbox"/> Non-financial benefits from other organisations	Other organisations may give my employer and me non-financial benefits depending on the decisions that you make.

I am required to tell you the specific fees, commissions, extra payments, and other benefits that I have received or will, or may, receive in relation to the services that I provide to you. I must tell you these things before I give you advice or provide a service or, if that is not practicable, as soon as practicable after I give you that advice or provide that service.

What are my obligations?

As an Authorised Financial Adviser, I must comply with the Code of Professional Conduct for Authorised Financial Advisers. I also have other obligations under the Financial Advisers Act 2008 (including regulations made under that Act) and under the general law.

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell my internal complaints scheme so that my internal complaints scheme can try to fix the problem. You may contact the internal complaints scheme by: contacting any Pascoe Barton employee in person or in writing.

If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Insurance & Savings Ombudsman Scheme
Level 7, 99-105 Customhouse Quay, Wellington 6011 <http://www.iombudsman.org.nz>

This service will cost you nothing, and will help us resolve any disagreements. You can contact the Insurance & Savings Ombudsman Scheme at:

Physical Address: Level 7, 99-105 Customhouse Quay, Wellington 6011

Postal Address: Office of the ISO, PO Box 10-845 Wellington 6143 New Zealand

Telephone number: +64 (04) 499 7612 or Freephone: 0800 888 202

Email address: info@iombudsman.org.nz

If you need to know more, where can you get more information?

If you have a question about anything in this disclosure statement or you would like to know anything more about me, please ask me. If you have a question about financial advisers generally, you can contact the Financial Markets Authority. Tel (04) 472 9830 www.fma.govt.nz

How am I regulated by the Government?

You can check that I am a registered financial services provider and an Authorised Financial Adviser at <http://www.fspr.govt.nz>.

The Financial Markets Authority authorises and regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings. Tel (04) 472 9830 www.fma.govt.nz

You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under **What should you do if something goes wrong?**).

Declaration

I, Susan Maree Pascoe Barton, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:



Dated: 13 July 2011